INTERNAL AUDIT PLAN 2022/23										
2022/23	Plan Completion to Date		%			1		-		•
Job No	Departments	Days Planned	Pre. Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Actual Days	Assurance Rating / Engagement Type
	Fundamentals									
1122001	Capital Accounting including Fixed Asset Register	20	*	*	*					
1122002	Cash & Bank	15	*	*	*	*	*	*	15	Acceptable
1122003	Creditor Payments	30	*	*	*					
1122004	Debtors System	20	*	*	*	*				
1122005	Housing Benefits	20	*	*	*	*	*	*	22	High
1122006	Payroll System	30	*	*	*					
1122007	Pensions Payroll System	20	*	*	*	*	*	*	18	High
1122008	VAT	15	*	*	*	*	*	*	17	Acceptable
	Corporate Governance Assurance									
2122001	Corporate Governance Arrangements	20	*	*	*					
2122002	Regulatory Recommendations	10								
2122003	Data Protection	20	/	/	/	/	/	/	0	DEFERRED
2122004	Welsh Language Standards	20	*	*	*					
2122005	Freedom of Information	20	*	*	*	*				
2122006	Risk Management Arrangements	10	*	*	*	*	*			
	COVID-19									
3122001	COVID-19 Duplication Checks and Payments	40	*	,	*					
3122002	COVID-19 Systems and Processes	20	*	,	*					
3122003	COVID-19 Working Groups	10	*	/	*					
3122003		10								
	Corporate Review Work									
4122001	CCC Companies	30								
4122002	Contract Management	20	*	*	*					
4122002	Procurement	20	*	*	*	*	*	*	22	Acceptable
4122003	Safeguarding - Adults	10	*	*	*	*			24	Acceptable
4122004	Safeguarding - Children	10	*	*	*	*				
4122005	Staffing Matters	20	*	*	*	*	*	*	20	Accordable
7122000	Stating widthers	20	1						20	Acceptable
	Counter Fraud									
E122004	Counter Fraud Fraud Provention Detection and Investigation	40	*	,	*					
5122001	Fraud Prevention, Detection and Investigation	40	*	/	*					
5122002	Proactive Fraud Testing	20	<u> </u>	'	-					
	Course & Constituentian									
C1220C1	Grants & Certification	46	*	,	*	*	,	*	12	Acres 2 :
6122001	Burry Port Harbour	10	*	/	*	*	/		12	Accounts Return
6122002	Children & Communities Grant	25	-	/			/	*	16	Certification
6122003	RCSIG - Regional Consortia Support Improvement Grant (EIG) - EOY	15	*	/	*	*	/	*	37	Certification
6122004	RCSIG - Regional Consortia Support Improvement Grant (EIG) - Q3	15	*	/	*					
6122005	Enable Grant	10	*	/	*	*	/	*	11	Certification
6122006	Housing Support Grant - Homelessness	12	*	/ /	*	*	/	*	7	Certification

	I		*	,	*	*	Ι ,	*		0.115.11
6122007	Housing Support Grant - Rent Smart Wales	10		/			/		5	Certification
6122008	Housing Support Grant - Supporting People	13	*	/	*	*	/	*	13	Certification
6122009	Local Authority Education Grant	20	*	/	*	*	/	*	23	Certification
6122010	Other Grants (where assurance is required for sign-off)	20	/	/	/	/	/	/	0	No further Grants
6122011	Wales Pension Partnership	5	*	/	*	*	/	*	4	Accounts Return
6122012	Post 16 Grant	10	*	/	*	*	/	*	8	Certification
6122013	Pupil Deprivation Grant - EOY	15	*	/	*	*	/	*	33	Certification
6122014	Pupil Deprivation Grant - Q3	15	*	/	*					
6122015	Trust Funds - Arbour Stephens	5	*	*	*	*	/	*	5	Certification
6122016	Trust Funds - Dyfed Welsh Church Fund	5	*	*	*	*	/	*	9	Certification
6122017	Trust Funds - Minnie Morgan	5	*	*	*	*	/	*	4	Certification
6122018	Trust Funds - Oriel Myrddin	5	*	*	*	*	/	*	10	Certification
6122019	Regional Development Coordinator Grant	5	*	/	*	*	/	*	3	Certification
	Chief Executive's Department (not incl IT)									
7122001	Departmental Income	15	*	*	*					
7122002	Local Government & Elections Act	10								i
7122003	Net Zero Carbon	20	/	/	/	/	/	/	0	DEFERRED
7122004	Regeneration & Economic Development: CRF	10	*	/	*			-		
7122005	Regeneration & Economic Development	10	*	<u> </u>						
7122006	PMP: Workforce Planning	20	/	/	/	/	/	/	0	DEFERRED
7122000	TWI - WORKOTCE Halling	20		,	,	, ,		,	Ü	DEFERRED
	Chief Functional Describes sub-IT									
7222004	Chief Executive's Department: IT	45	*							
7222001	Cyber Security	15		*	*					
7222002	Disaster Recovery and Business Continuity	15	*	*	*	*	*			
	Communities									
7322001	Departmental Income	15	*	*	*	*	*	*	18	Acceptable
7322002	Disabled Facilities Grants (DFGs)	15	*	*	*	*	*	*	16	Acceptable
7322003	Disrepair Claims	15	*	*	*	*	*	*	11	Acceptable
7322004	Leisure: Health, Fitness & Dryside portfolio	20	*	*	*	*	*			
7322005	Adult Social Care Complaints Process	20	*	*	*					
	Corporate Services									
7422001	Departmental Income	15	*	*	*					
7422002	Corporate Credit Card	15	*	*	*	*	*			
	Education & Children									
7522001	Departmental Income	15	*	*	*	*	*			
7522002	Youth Support Service Pre-paid Cards	15	*	*	*					
7522003	Schools Expenditure	30	*	*	*	*	*			•
7522004	Schools (incl School Questionnaires)	20	*	*	*	*	*	*	29	Assurance
7522004	School Visits 1	10	*	*	*	*	*			
7522004	School Visits 2	10	*	*	*	*	*			-
7522004	SCHOOL VISITS 7	10	*	*	*	<u> </u>				
7522005	Wolfara Cards	1 10	. *	ı ~	ı ~	1	I	1	ı .	
	Welfare Cards	10								
	Welfare Cards	10								
	Welfare Cards Place & Infrastructure									

7622001	Departmental Income	15	*	*	*	*	*	*	16	High
7622002	Fleet Management	10	*	*	*	*	*			
7622003	Waste	15	*	*	*					
7622004	Planning: Minerals & Waste	20	*	*	*	*	*	*	18	High
7622005	Schools Handy Van Service	10	*	*	*					
7622005	Property - Consultancy	5	*	*	*	*				
7622006	Asset Management	15	*	*	*	*	*			
	Additonal									
8122001	Departmental Advisory Work	40	*	/	*					
8222001	Various Departmental Working Groups	40	*	/	*					